



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

CRAIG H. BARRATT, ET AL.

Application No.: 09/676,888

Filed: September 29, 2000

For: NON-DIRECTIONAL TRANSMITTING

FROM A WIRELESS DATABASE

STATION HAVING A SMART

**ANTENNA SYSTEM** 

Art Group: 2681

Examiner: Not Yet Assigned

RECEIVED

JUN 0 3 2003

**Technology** Center 2600

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

-1-

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 30, 2003

Philip A. Pedigo, Reg. No. 52,107

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Derek S. Watson

-2-

Date

05-30-03

15685P027

8	-/
	•
	8

May 30, 2003

Date

TRANSING (to be used for all co			Application No.	09/676,888	
ર્ટ∙\	TRANSMITTAL FORM			September 29, 2000	RECE
(to be used for all correspondence after initial filing)			First Named Inventor	Craig H. Barratt	
<i>\$</i>	\$ / ·		Group Art Unit	2681	JUN 0 3
			Examiner Name	Not Yet Assigned Tet	thnology Co
Total Number of Pag	es in This Submissi	on	Attorney Docket Number	15685P027	
	ENCLO	SURES (chec	k all that apply)		
Fee Transmittal Form Drawing(s)			After Allowance Co	ommunication	
Fee Attached	d	Licensing-r	related Papers	Appeal Communic of Appeals and Inte	ation to Board erferences
Amendment / Resp	onse	Petition		Appeal Communic (Appeal Notice, Br	
After Final Affidavits/de	claration(s)	Petition to 0 Provisional	Convert a Application	Proprietary Informa	ation
Extension of Time	Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter	
Express Abandonn	nent Request	Terminal D	isclaimer	Other Enclosure(s) (please identify bel	
Information Disclos	ure Statement	Request fo	r Refund	- Copies of 14 cite references	ed
PTO/SB/08  Certified Copy of P Document(s)	riority	CD, Numbe	er of CD(s)	- Return Postcard	
Response to Missir Incomplete Applica  Basic Fil		Remarks		<del></del>	
	ion/POA		_		
Response to Parts under 1.52 or 1.53	Missing 37 CFR				
	SIGNATURI	OF APPLICAN	NT, ATTORNEY, OR AG	ENT	
Firm	Philip A. Pedigo	o, Reg. No. 52	,107		
or Individual name	BLAKELY, S	OKOLOFF,	TAYLOR & ZAFM	AN LLP	
Signature	Para	. Peg			
Date	May 30, 2003	0			
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION		

Derek S. Watson

Typed or printed name

Signature

CEETDANICMITTAL . 9"	₹.	Complete if Known	
FEE TRANSMITTAL AND TO THE TRANSMITTAL	Application Number	09/676,888	
for FY 2003 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Filing Date	September 29, 2000	RECEIVED
Effective 01/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Craig H. Barratt	
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Not Yet Assigned	JUN 0 3 2003
	Group/Art Unit	2681	
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	15685p027	Technology Cepter 2600

	ME	THOD	OF F	PAYMENT (	check on	e)				FE	E CALCULATION (continued)	
		1		Money	П	X None	3. Al	DITIO	NAL	FEES		
Chec	ok L Osit Accou	Credit o	card	Order	Other	None None	Large	Entity	ı Smal	l Entity	,	
Прерс	ISII ACCOU	nı					Fee	Fee	Fee	Fee	-	
Depo Acco				02-2666			Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Numl				02-2000			1051	130	2051	65	Surcharge - late filing fee or oath	
Depo	sit						1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Acco Nam	unt B	lakely	, Sok	oloff, Taylor	r & Zafm	an LLP	2053	130	2053	130	Non-English specification	
The Com	missione	r is autho	orized to	: ( check all that a	арріу)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
☐ Char	ge fee(s) i	ndicated	below	Cre	edit any overpa	ayments	1804	920*	1804	920 •	Requesting publication of SIR prior to  Examiner action	
_	-			uired under 37 CFI			400-	1,840*	1005	1,840 *	Requesting publication of SIR after	
				xcept for the filin			1805	1,040	1805	1,040	Examiner action	
	ge ree(s) i e above-id				y 166		1251	110	2251	55	Extension for reply within first month	
		FE	EE CA	LCULATIO	N		1252	410	2252	205	Extension for reply within second month	
1.	BASIC						1253	930	2253	465	Extension for reply within third month	
Large		1	l Entity	_			1254	1,450	2254	725	Extension for reply within fourth month	
Fee	Fee	Fee Code	Fee	Fee Description		Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	
Code	(\$)	Code	(\$)				1404	320	2401	160	Notice of Appeal	
1001	750	2001	375	Utility filing fee			1402	320	2402	160	Filing a brief in support of an appeal	
1002	330	2002	165	Design filing fee	•		1403	280	2403	140	Request for oral hearing	
1003 1004	520 750	2003	260 375	Plant filing fee Reissue filing fe	10	<u> </u>	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1004	160	2004	80	Provisional filing			1452	110	2452	55	Petition to revive - unavoidable	
1005	100	2003		·			1453	1,300	2453	650	Petition to revive - unintentional	
			SUBT	TOTAL (1)	(\$)		1501	1,300	2501	650	Utility issue fee (or reissue)	
2.	EXTR/	CLA	IM FE	ES Extra	Fee from		1502	470	2502	235	Design issue fee	
				Claims	below	Fee Paid	1503	630	2503	315	Plant issue fee	
Total Clair			. 20°	_ x		=	1460	130	2460	130	Petitions to the Commissioner	
Independe Claims	ent		· 3	= x		=	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple D	ependent					=	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large I	Entity	Small	Entity	•			8021	40	8021	40	Recording each patent assignment per	
Fee	Fee	Fee	Fee	Fee Description							property (times number of properties)	
Code	(\$)	Code	(\$)		-4.00		1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202	18	2202	9	Claims in excess		4 2	1810	750	2810	375	For each additional invention to be	<del></del> -
1201	84 280	2201	42 140	Independent clair Multiple Depende							examined (37 CFR § 1.129(b))	
1203 1204	84	2203 2204	42	**Reissue indeper		-	1801	750	2801	375	Request for Continued Examination (RCE)	
	- '			patent		-	1802	900	1802	900	Request for expedited examination of a design application	
1205	18	2205	9	"Reissue claims original patent	in excess of 2	0 and over	Other fee	(specify)			or a congresspringer.	
			SUBT	TOTAL (2)	(\$)		1					
	**or num	ber previ		id, if greater, For F	Reissues, see	below	* Reduce	d by Basic	Filing Fe	e Paid	SUBTOTAL (3) (\$)	
CITE											Complete (if applicab	le)
SUB	MITTE	:NRA					Re	oistratio	on No.	Т.	Complete (il applicati	

SUBMITTED B	Υ	Complete (if applicable)			
Name (Print/Type)	Philip A. Pedigo	Registration No. (Attorney/Agent)	52,107	Telephone	(503) 684-6200
Signature	Mr. a. Pazi			Date	05/30/03

Substitute for form 1449A/PTO

## NIFORMATION DISCLOSURE STATEMENT BY APPLICANT

1 **Sheet** 1 of

Complete if Known						
Application Number 09/676,888						
Filing Date	September 29, 2000					
First Named Inventor	Craig H. Barratt					
Art Unit	2681					
Examiner Name	Not Yet Assigned					
Attorney Docket Number	15685P027					

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5574977	11-12-1997	Joseph et al.	
	2	US-5619503	04-08-1997	Dent	
	3	US-5625880	04-29-1997	Goldburg et al.	SECEIVED
	4	US-5642353	06-24-1997	Roy III et al.	RECEIVED
	5	US-5684794	11-04-1997	Lopez et al.	JUN 0 3 2003
	6	US-5708971	01-13-1998	Dent	
	7	US-5870393	02-09-1999	Yano et al.	Technology Center 260
	8	US-5884178	03-16-1999	Ericsson et al.	160thology
	9	US-6037898	03-14-2000	Parish et al.	
	10	US-6141567	10-31-2000	Youssefmir et al.	~
	11	US-6219561	04-17-2001	Raleigh	
	12	US-6292664	09-18-2001	Ostrup et al.	
	13	US-6337985	01-08-2002	Roux	
		US-			
-		US-		1	
		US-			

	FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document	O brissis Date		Pages, Columns, Lines,					
	Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	ľ					
	14	EP 0 841 827 A2	05-13-1998			<u> </u>				
	ļ <u>.</u>					├				
						┢				
	<u> </u>					$\vdash$				
						<u> </u>				
						T				

	The state of the s	
Examiner	Date	
Signature	Considered	1

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.